



STCI FINANCE LIMITED

(Formerly Securities Trading Corporation of India Limited)

Regd. Off.: A/B 1-802, A - Wing, 8th Floor, Marathon Innova, Marathon Nextgen Compound,
Off. Ganpatrao Kadam Marg, Lower Parel (W), Mumbai - 400 013.

CIN : U51900MH1994PLC078303, Website :- www.stcionline.com

STCI.NO.791/CS/2019

October 18, 2019

National Stock Exchange of India Limited
Exchange Plaza,
Plot no. C/1,G Block,
Bandra Kurla Complex,
Bandra East,
Mumbai – 400051

Kind Attn : Shri Yogesh Deshmukh/Ms. Pramilla D'Souza

Dear Sir/Madam,

**Certificate under Regulation 61 (4) read with regulation 40(9) of the SEBI (Listing
Obligation and Disclosure Requirements) Regulation, 2015**

In terms of Regulation 61(4) read with regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015 with respect to transfer of securities, we forward you the certificate issued by Practising Company Secretary for the half year period ended September 30, 2019.

Kindly acknowledge receipt.

Thanking you

Yours faithfully,

(Suparna Sharma)
Company Secretary

NILESH G. SHAH

B.Com., LLB (G), F.C.S.

Company Secretaries

Ref. _____

UDIN: F004554A000114587

**CERTIFICATE UNDER REGULATION 61(4) READ WITH 40(9) OF THE SEBI
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

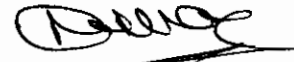
FOR THE HALF YEAR ENDED 30.09.2019

Based on the Information / details provided to us by **STCI FINANCE LIMITED** (herein after will be referred to as "the Company") for the purpose of issuing a Certificate under Regulation 61(4) read with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from 1st April, 2019 to 30th September, 2019 for physical transfer of Secured Redeemable Non Convertible Debentures of the company, we hereby certify that since all the Debentures issued by the Company are in Demat form, the Company has not received any request for physical transfer / transmission / transposition / post deletion / sub-division, consolidation, exchange, renewal or endorsement of calls/allotment monies during half year ended on 30th September, 2019.

Date: 18/10/19

Place: Mumbai

Signature: _____


18/10/2019

NILESH G. SHAH.

Company Secretary

C. P. No: 2631

