



# STCI FINANCE LIMITED

(Formerly Securities Trading Corporation of India Limited)

Regd. Off.: A/B 1-802, A - Wing, 8th Floor, Marathon Innova, Marathon Nextgen Compound,  
Off. Ganpatrao Kadam Marg, Lower Parel (W), Mumbai - 400 013.

CIN : U51900MH1994PLC078303, Website :- www.stcionline.com

STCI No. 753 / CS/ 2019-20

October 3, 2019

National Stock Exchange of India Ltd.  
Exchange Plaza,  
Plot no.C/1, G Block,  
Bandra -Kurla Complex  
Bandra (E)  
Mumbai -400 051

**Kind Attn : Mr. Yogesh Deshmukh/ Ms. Pramilla D'souza**

Dear Madam,

**Sub: SCORES ID – s01747**  
**Quarterly report regarding Investor Complaints for the quarter**  
**ended September 30, 2019**

In compliance with the Regulation 13(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we inform that no Investor Complaints were received by the Company during the quarter ended September 30, 2019

The statement on Investor Complaints for the quarter ended September 30, 2019 is as under :

Particulars	Quarter ended September 30, 2019
Investor Complaints Pending at the beginning of the quarter	NIL
Investor Complaints Received during the quarter	NIL
Investor Complaints Disposed of during the quarter	NIL
Investor Complaints Remaining unresolved at the end of the quarter	NIL

Please take the above on record.

Compliance status retrieved from our SCORES ID is attached for your ready reference.

Thanking you

Yours faithfully,

(Suparna Sharma)  
Company Secretary

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### Complaints Status as on: 30/09/2019

<b>Total Complaints Received</b>	0
<b>Total Resolved Complaints</b>	0
<b>Total Pending Complaints</b>	0
<b>Total Complaints Withdrawn</b>	0
<b>ATR/REPLY Sent and Pending With SEBI</b>	0
<b>Review(s) received</b>	<a href="#">Click Here</a>
<b>Direct complaint(s) received from investor</b>	<a href="#">Click Here</a>
<b>New Complaints from SEBI (For Assessment)</b>	-
Clarification Sought By SEBI/Higher Organization	-
Action Taken Report Received	-
Action Taken Report Sent	-
Pending Complaints(Within Our Office)	-
Pending Complaints(With Investor)	-
Pending Complaints(With Subordinate Organisations)	-
Pending Complaints(With Subordinate Organisations - Atr not Received)	-
Reminder/Clarification received from investor/SEBI	-
Clarification sought from subordinate organisation	-

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