



STCI FINANCE LIMITED

(Formerly Securities Trading Corporation of India Limited)

Regd. Off.: A/B 1-802, A - Wing, 8th Floor, Marathon Innova, Marathon Nextgen Compound,
Off. Ganpatrao Kadam Marg, Lower Parel (W), Mumbai - 400 013.

CIN : U51900MH1994PLC078303, Website :- www.stcionline.com

STCI.NO. 165 /CS/2020-21

October 16, 2020

National Stock Exchange of India Limited
Exchange Plaza,
Plot no. C/1,G Block,
Bandra Kurla Complex,
Bandra East,
Mumbai – 400051

Kind Attn : Shri Yogesh Deshmukh/Ms. Pramilla D'Souza

Dear Sir/Madam,

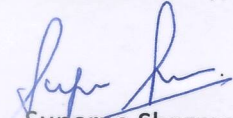
**Certificate under Regulation 61 (4) read with regulation 40(9) of the SEBI (Listing
Obligation and Disclosure Requirements) Regulation, 2015**

In terms of Regulation 61(4) read with regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015 with respect to transfer of securities, we forward you the certificate issued by Practising Company Secretary for the half year period ended September 30, 2020.

Kindly acknowledge receipts.

Thanking you

Yours faithfully,


Suparna Sharma
Company Secretary

NILESH G. SHAH B.Com., LLB (G), F.C.S

Company Secretaries

Ref _____

UDIN: F004554B000959772

**CERTIFICATE UNDER REGULATION 61(4) READ WITH 40(9) OF THE SEBI
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30.09.2020

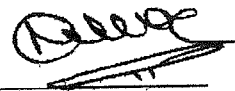
Based on the Information / details provided to us by **STCI FINANCE LIMITED** (herein after will be referred to as "the Company") for the purpose of issuing a Certificate under Regulation 61(4) read with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from 01st April, 2020 to 30th September, 2020 for physical transfer of Secured Redeemable Non Convertible Debentures of the company, we hereby certify that since all the Debentures issued by the Company are in Demat form, the Company has not received any request for physical transfer / transmission / transposition / deletion / Change of Name, sub-division, consolidation, exchange, renewal, Duplicate or endorsement of calls/allotment monies during half year ended on 30th September, 2020.

Note: Due to COVID-19 outbreak and Lockdown situation, this Certificate has been issued relying on the certificate, information, details, data, documents and explanation provided by the Company and its officers, agents and authorized representatives and Registrar and Transfer Agent in electronic form, without physically verifying at their office.

Date: 15/10/2020

Place: Mumbai

Signature: _____



NILESH G. SHAH.
Company Secretary
C. P. No: 2631



211-B (Back Side) 2nd Floor, Building No 1, Sona Udyog, Parsi Panchayat Road, Extn of Old Nagardas Road Andheri (East), Mumbai - 400 069. Tel. : 2820 7824 / 2820 3582 E-mail nilesh@ngshah.com

304-A, Poonam Sagar, Poonam Nagar, Off. Mahakali Caves Road, Andheri (East), Mumbai - 400 093
Tel 2836 3419 Email ngshah cs@gmail.com